

**Transmittal of Divisional Patent Application for Filing***Certification Under 37 C.F.R. §1.10 (if applicable)***EL 530 373 923 US**

"Express Mail" Label Number

**December 18, 2000**

Date of Deposit

I hereby certify that this application, and any other documents referred to as enclosed herein are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

**Deborah H. Brockmeyer**

(Print Name of Person Mailing Application)



(Signature of Person Mailing Application)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Schultz *et al.*

SERIAL NO.: not yet assigned

FILED: concurrently herewith

FOR: **PLASMON RESONANT PARTICLES,  
METHODS AND APPARATUS**

EXAMINER: unassigned

ART UNIT: unassigned

**Preliminary Amendment**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above application, please amend the application as follows:

**In the Specification:**

On page 1, line 3, following the title, please replace "This application claims priority under 35 U.S.C. §120 to Provisional Application Serial No. 60/038,677, filed February 20, 1997, entitled "Preparation and Use of Plasmon Resonant Particles", which is hereby incorporated by reference in its entirety." with ~~This application is a division of copending application Serial No. 09/027,048, filed February 20, 1998, which claims priority to U.S. Provisional Application Serial No. 60/038,677, filed February 20, 1997, now abandoned, both of which are hereby incorporated by reference in their entirety.--~~